RESUME

Son Van Nguyen, Ph.D.

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BIRTHDATE: 3-18-1956

INTEREST:

Research and development engineering leadership positions in Nano semiconductor technologies and process equipment focusing on Chemical/Physical Vapor Deposition Technology of Dielectrics and Metals.

Development and Manufacturing: Fabrication and Packaging processing of Nano Electronic and Computer Storage Devices.
Nano/micron semiconductor devices: Low K Chemical Vapor.
Nanofabrication Technologies: Process/Device Integration.
Deposition/Etch/Chemical Mechanical Polishing/Lithography Processes:

- Thin Film Storage Technology: Slider, Head and Disk fabrication

- Electronic, Ceramic and Polymeric Materials prepared by Laser and Plasma Assisted Processes for various Microelectronic and Thin Film Storage Applications.

- Physical and Electronic Properties Variations of Materials Properties by Compositional and Bonding Structure Modifications.

- Kinetic Modeling of Plasma and Laser Assisted Processes - Etching and Deposition

EDUCATION: - Ph.D. in Solid State Chemistry and Physics, January 1981 Brown University 1978-1981

> - National Science Foundation Fellowship - Summer Research University of North Carolina at Chapel Hill, 1978

- Bachelor of Art in Chemistry, 1978

State University of New York at Plattsburgh 1975-1978

- Continuing Education in Electrical Engineering

Courses: Very Large Scale Integration Technologies,

Physics of Field Effect Transistors, Introduction to

Complementary Field Effect Transistors, Plasma Science -

University of Vermont and IBM Burlington 1982-1984

- IBM First Level Invention Achievement Award, 1988
- IBM Second Level Invention Achievement Award, 1992
- IBM Third Level Invention Achievement Award, 1994
- IBM Fourth Level Invention Achievement Award, 1994
- IBM Fifth Level Invention Achievement Award, 1995
- IBM Sixth Level Invention Achievement Award, 1995
- IBM Seventh Level Invention Achievement Award, 1996
- IBM Eighth Level Invention Achievement Award, 1996
- IBM Ninth Level Invention Achievement Award, 1997
- IBM Tenth Level Invention Achievement Award, 1997
- IBM Eleventh Level Invention Achievement Award, 1998
- IBM Twelfth Level Invention Achievement Award, 1998
- IBM Thirteenth Level Invention Achievement Award, 1999
- IBM Fourteen Level Invention Achievement Award, 2000
- IBM Fifteen Level Invention Achievement Award, 2000
- IBM Sixteen Level Invention Achievement Award, 2001
- IBM Seventeen Level Invention Award, 2002
- IBM Eighteen Level Invention Award, 2004
- -IBM Nineteenth Level Invention 2004
- IBM Twentieth Level Invention Award 2005
- IBM Twenty-first Level Invention Award 2005
- IBM Twenty-Second Level Invention Award 2006
- -IBM Twenty-Third Level Invention Award 2006
- IBM Twenty-Fourth Level Invention Award 2007
- IBM Twenty-Fifth Level Invention Award 2007
- IBM Twenty-Sixth Level Invention Award 2008
- IBM Twenty-Seventh Level Invention Award 2009
- IBM Twenty-Eight Level Invention Award 2009
- IBM Twenty-Ninth Level Invention Award 2010
- IBM Thirty Level Invention Award 2011
- IBM Thirty First Level Invention Award 2012.
- -IBM Thirty Second Level Invention Achievement 2012
- IBM Thirty third Level Invention Achievement Award 2013
- -IBM Thirty fourth Level Invention Achievement Award 2013
- -IBM Thirty Fifth Level Invention Award 2014
- IBM Thirty Six Level Invention Award 2014
- IBM Thirty Seven Level Invention Award 2014
- IBM Thirty Eight Level Invention Award 2015
- IBM Thirty Nine Level Invention Achievement Award 2015
- Three Outstanding IBM Technical Achievement Awards 2007, 2010 and
- 2012 in New Cu- Low k Dielectric Materials Innovation for nano device.

- IBM Research Team Extraordinary Accomplishment achievement citation for Cu- Low K Nano Interconnect technology for electronic device manufacturing development 2008.

- IBM Top Rank Patent Awards.

- IBM Corporate Technical Recognition Awards for most valuable Patents 2004-Flourorinated Oxide Interlevel Dielectric Materials that generated Multimillion dollars Patent Royalty.

Principal IBM Inventor of Flourinated Glass deposition techniques which became the standard of microelectronic industry.

- IBM Corporate Performance Awards (Various Years) Top Innovator
- Who is Who in America/Who is Who in the East
- Invited Speakers- Material Research Society National Meeting Spring 2008, Spring 2015 San Francisco USA
- Invited Speaker- AMAT Technology Conferences 2013/ IITC 2014
- Invited Speakers: Advanced Metallization Conference 2012, Electrochemical Society Meeting Fall 2008.-Honolulu USA
- Section Chairman of Plasma Deposition: 7th International Symposium in Plasma Chemistry,. Eindhoven, Netherlands. 1985
- Chairman of IBM 1985 Plasma Symposium. Burlington, VT 1985
- Invited Panel session discussion member on Novel Deposition. US National Science Foundation Task Force on "Engineering and Research Needs for Electronic Material Processing". University of Delaware, Newark, DE June 1985.
- Invited Speaker. Semiconductor West 1995 Technical Seminars
- Invited Speaker. International Conference on Metallurgical Coating and Thin Films. San Diego, USA 4/1994 and 5/1995.
- Invited Plenary Speaker. Fourth International Symposium in Plasma Etching and Deposition for Microelectronic Application. Paris, France. November 1985.
- Invited Speaker. American Vacuum Society National Meeting. Houston, Texas. November 1985.
- Invited Speaker. SEMICON EAST. Boston, Massachusetts. 1984
- Invited Speaker. New England Chapter, American Vacuum Society. New Bedford, Massachusetts. September 1984.
- Invited Speakers at University of Vermont, State University of New York, Florida State University, University of North Carolina, University of Wisconsin at Madison, Penn State. 1985-1996
- Exxon Research Fellow 1980 1981.
- Honor Graduate. State University of New York, 1978.
- National Science Foundation Summer Research Fellowship.1978

RESEARCH & TEACHING EXPERIENCE:

• RESEARCH STAFF- (Senior MEMBER & group leader) : 11/2006-Present Dielectrics and Exploratory Materials, **IBM at Albany Nanotech Center** Albany, University at Albany, Albany, New York 12203

Exploratory Research in Nanotechnology for Nano Device Fabrication in Sub-20 nm Nanodevices: Plasma assisted Atomic Layer Deposition. Nano

Interconnect Technology. Low k Dielectrics material for Cu Interconnect for 32/22 nm CMOS devices. Metal Cap and liners for nano device BEOL Interconnect. 3-D

Device packaging process and integration. .Carbon nanotube interconnect Back End of the Line for Future Nano devices. Principal Dielectric CVD scientist/engineer for IBM at Albany Nanotech University at Albany for Cu Bilayer Low k Cap (k~3.8) and metal cap and ULK (k~2.2-2.7) film/process technology implemented into 45/32/22/14/10/7 nm Interconnect for IBM semiconductor Alliance . We achieved Team Extraordinary Accomplishment achievement award at IBM Research for Cu-Low K Nano Interconnect technology.

Extensive Mentoring and Teaching of graduate students and new hires

 RESEARCH STAFF- MEMBER: May 2003- September 2006 Dielectrics and Exploratory Materials, IBM Thomas Watson Research Center Yorktown Heights, New York USA

Accomplishment: Exploratory Stable low k (k<3.0) dielectric for advanced semiconductor device fabrication. Development of advanced Ultra low-k CVD SiCOH dielectrics (k=2.0-3.0) with low lost and improved mechanical and electrical properties for 300 mm Fabrication Line. Developed advanced processes for low k (2.7-2.4) CVD SiCOH films and established Cu/low k integration suitable for 65-22 nm CMOS electronic devices. Principal research scientist/engineer for the Development and implementation of nano porous SiCOH Dielectric with K~2.7 into 45/32/22 nm CMOS device manufacturing for IBM Semiconductor Technology Alliance (IBM-Sony-Toshiba-AMD-Chatered-Samsung-Infineon) on Semiconductor Technology Development. -

 CHIEF TECHNOLOGY OFFICER, Low-K Dielectric Group: July 02- May 03 Applied Materials Inc., Santa Clara, CA 95054 USA Job Description: Responsible for Technology R&D of low-K dielectrics suitable for <0.10 um high performance electronic devices. Accomplishment: Developed key technologies & direction for CVD low-K, received several key US patents (8) for various CVD low-k/Cu. Develop Integrated Plasma CVD/E-Beam low K (k < 2.7) system.

* SENIOR SCIENTIST/ENGINEER. July 1999-July 2002

IBM Corporation. IBM Almaden Research Center, San Jose, CA 95120 Job Description: Develop Reactive Ion Etching, Ion Milling for sub-0.1 um Semiconductor and Magnetic Recording Head Fabrication . Plasma CVD/Etching Fabrication Process for Storage Devices. Low Dielectric Constant Material and Metal Etching, low and high dielectric constant and Insulating Materials Deposition. Accomplishment: Developed 0.1-0.15 micron high (>10:1) Aspect ratio polymeric structure suitable for advanced Cu, NiFe, Al/Ag metal wiring structures by etching or plating for advanced electric and storage devices. Patterning processes for advance Spin valve and Magnetic DRAM devices.
* SENIOR SCIENTIST/ENGINEER . JUNE 1996-July 1999 IBM Corporation. IBM System Storage Division, San Jose, CA 95193 Job Description: Air Bearing Slider Technology Development,

Advanced Slider- RIE Processing, Ion Milling, Solid

State Lubrication Films, Cleaning.: Advanced Plasma process technology. Plasma Abatement of Chlorofluorocarbon to minimize environmental Green-house effect. Laser micro machining of advanced MR/GMR slider devices Accomplishment: Developed CFC Plasma Abatement Technology for IBM SSD for worldwide installation. Laser Corner Rounding Technology Development. Advanced RIE Etch Processes for Slider Air Bearing Surface Patterning. Advanced plasma Carbon and F-Cx for Slider Overcoat and slider Lubrication.

* SENIOR ENGINEER. MAY 1994- JUNE 1996

IBM Corporation. IBM Microelectronics, Hopewell Junction, NY 12533
Job Description: Insulator Technology Development for sub-Quarter micron (0.25-0.15 um) DRAM (256M & 1Giga bits) and Sub-quarter micron future CMOS devices. Low dielectric Constant materials: process technology development
Advanced tooling and process development. Principal Engineer
For Advanced CVD/RIE process technology development for IBM Advanced Semiconductor R&D Center.
Accomplishment: Developed new F-Oxide and low-k (HSQ) high density Plasma films for sub-quarter micron IC at IBM ASTC.
Established High density Plasma CVD capability at IBM ASTC
And advanced low temperature CVD Oxide. Sub-quarter micron Stack capacitor. Principal IBM Inventor of Flourinated Glass deposition techniques that became the standard of microelectronic industry.

* ADVISORY ENGINEER. JULY, 1992-1994

IBM Corporation. IBM Microelectronics, Hopewell Junction, NY 12533

Job Description: Insulator Technology Development for sub-Quarter micron (0.18-0.25 micron) DRAM (256M-1 Gigabits). Advanced insulator development for future CMOS devices. Electron Cyclotron Resonance and Magnetically Enhanced Plasma Processing. Clustered and Integrated Multi-step Processing with advanced tooling (AME5000, 5500, ECR CVD Novellus Concept 1 , HDP CVD system, Lam Integrity). Accomplishment: Established processes for 256 Mbit Insulator deposition: low temperature CVD processes including doped and undoped oxides, and other advanced insulator CVD. Exploring/ Developing Processes for advanced CMOS technology including **High Density Plasma CVD, LPCVD BPSG, Fluorinated Oxide** and Sub-atmospheric CVD TEOS/O3.

Middle of The Line/M0 Integration/Process development: Inter-metal Gate conductor Fill with SACVD TEOS/O3, LPCVD BPSG. Improved M0 Damascene Process to meet 256 Mbit DRAM requirement-. BEOL Process developments for both 256M and 1Gbit DRAM * ADVISORY ENGINEER. FEBRUARY, 1989- JULY 1992 IBM Corporation. General Technology Division, Essex Junction, VT 05452

Job Description: Plasma Dry Process Development for sub-half micron (0.18-0.35 micron) DRAM (64-256M-1Gbits). Electron Cyclotron Resonance and Magnetically Enhanced Plasma Processing. Clustered and Integrated Multi-step-Processing with advanced tooling (AME5000). Accomplishment: **Plasma CVD Fluorinated Silicon Oxide** Developed sub-half micron dry etch process

for doped PolySilicon, Metal Silicide gate stack conductors for early T0 phase of 64 Mbit DRAM . Module development for Trench Recess I & II for T0 64 Mbit and 256 Mbit DRAM.

Process Technology: Evaluated and Developed Conformal step coverage Plasma and Thermal CVD TEOS and Silane Oxide Process suitable for 64-256 Mbits DRAM technology. Developed **New PECVD Processes for Fluorinated Oxide ,BN, SiBN, Fluorinated Nitride**

, Plasma Oxidation Process with Ozone result in several patent applications. Stack and Trench Capacitors Developments for 0.25 micron CMOS Technology. Thin Insulator (3-10 nm) Oxide/Nitride/Oxide Developed for 256 Mbit DRAM. High dielectric Constant Ta2O5 Development for Future Device

* Staff Engineer and Project Leader. March 1987- Feb. 1988 IBM Corporation. General Products Division San Jose, California 95193. Job Descriptions : Advanced Surface Treatment Process Development for Thin Film Disks, Alternative Overcoats for Thin Films Disk, Lubrication Process Development, Surface Bonding Enhancement. Plasma Dry Processing for Alternative Thin Film Disks Overcoat and Surface Texture Patterning. Accomplishments: Developed Alternative Dipping Lubrication Process for Thin Films Disks. Successfully Researched and Developed Dipping Lubrication Process to a Stage that it become a Plan-of-record for Thin Film Disks Project in only 3 months. Scaled up process and trained engineers and technicians for Pilot line Production

Current Scaled up Process is being used in Thin Film Disk Manufacturing at IBM San Jose.

IMPORTANT PUBLICATION RELATED TO PROJECT : TECHNICAL REPORT TR 02.1464:

" Dipping Process Development of Perfluorocarbon Lubricant Coating for Thin-Film Astro Disks", Son Van Nguyen, E. Yang and R. Kulkani May 1988, IBM Confidential Restricted.

 * Staff Engineer . July, 1984 - February, 1987.
 IBM Corporation. General Technology Division. Essex Junction, Vermont 05452. Teaching Experience: Thesis Advisor for Graduate student thesis research at IBM in University - Industry Research Program (IBM - Clarkson University). 1985 - 1986. Student Thesis: Excimer Laser Etching of Polysilicon Film

- Supervised Summer Co-op students at IBM .1984-1985 - Instructor for IBM Graduate level course: "Plasma
- Processing for Microelectronic Applications". 1985.
- Work Experience: Plasma Processing for Microelectronic Applications: Etching and Deposition. Low Pressure Chemical Vapor Deposition, Sub-micron Lithography, Thin Dielectric Materials, Laser Etching of Semiconducting and Insulating Silicon, Polymer and Conducting Metallic Films. Physical Analysis and Electrical Characterization of Thin Film Materials: Amorphous Silicon, Silicon Nitride, Oxide, Oxynitride, Metal (W, Co, WSix) and Organosilicon Polymer. Kinetic Modeling of Laser and Plasma Assisted Process. Accomplishments: Established Significant Visibility of Plasma Deposition and Etching in Burlington. Assisting Plasma Process Development for Megabits Chips. Researched and Developed 2% Silane Plasma Deposition to substitute for 100 % Silane Process. Identified, Developed and Established Laser Etching Technology in IBM Burlington and Showed That This Technology is Unsuitable for Silicon Processing.
- * Senior Associate Engineer. Work experience : Plasma Science Tool Optimization, Characterization of Polymer and Thin Dielectric deposited by Plasma Process. 1981 - 1984.
 IBM, Essex Junction, Vermont 05452.
 Accomplishments : Installed Plasma Enhanced Deposition Tools, Developed Plasma Silicon Nitride, Silicon Oxide and Oxynitride Deposition Processes Suitable for various Silicon Integrated Circuit Fabrication Processes. Researched and Developed Various Plasma Enhanced Chemical Vapor Deposition Processes for Many Types of OrganoSilicon Polymers. Dry Etching Process Development.
- * Research Chemist. Work experience: Synthetic Fuel Research-Coal Gasification and Liquidification Catalysis. .Summer 1980. Exxon Research and Engineering Company, Baytown, Texas 77520 Accomplishments: Developed New Magnetic Measurement Methods to Study and Monitor the Activity of Catalysts During Various Stages of Coal Gasification and Liquidification Process. This resulted in a research grant from Exxon to Brown University for the continuation of this work.
- * Teaching and Research Assistant. Research Experience: Photovoltaic properties of transition metal oxide -Synthesis, characterization by X-ray powder diffraction, Fourier Transform Infrared, measuring photoelectronic and Electrical properties, Thermogravimetric analysis, magnetic

Measurement.

Correlation between magnetic and crystallographic properties of transition metals supported on amorphous carbon - effect of catalytic properties of metals under various process conditions. Works sponsored by Exxon Research and Engineering Company at Brown University. Teaching Experience: General, Inorganic and Physical Chemistries: Laboratory and Courses. 1978 - 1981. Brown University, Providence, Rhode Island 02912.

- * Research Assistant. Research in Inorganic Synthesis of Promising Superconducting Materials. Summer 1978.University of North Carolina at Chapel Hill, North Carolina 27514
- * Teaching and Research Assistant. Research in Organic Synthesis, Computer Simulation of Chemical Reactions. Teaching Assistant - General and Physical Chemistry 1976-78 Chemistry Department, State University of New York at Plattsburgh, New York 12901.

EXTERNAL PUBLICATIONS & PRESENTATIONS:

- * Over 100 US Patents issued and filed
- * Over 100 External Technical Publications and Presentations.

A- REFEREED JOURNAL & BOOK ARTICLES

a) THESIS RESEARCH

- "Preparation and Photoelectronic Properties of Cd2GeO4 ".
 S.V. Nguyen, R. Kershaw, K. Dwight and A. Wold, Material Research Bulletin, V. 14, pp. 1535-1539 (1979).
- "Preparation and Photoelectronic Properties of the System Cd2Ge1-xSixO4"; S.V. Nguyen, R. Kershaw and A. Wold, Journal of Solid State Chemistry, February 1981.
- 3) "The Crystallographic and Magnetic Properties of Dispersed Nickel Particles on Amorphous Carbon support"; S.V. Nguyen, Ph.D. Thesis, Brown University, April 1981.

b) Plasma & Laser Processing, Material Science, Kinetic , semiconductor technology, Micro and nanoelectric devices, Nanoscience, Magnetic computer storage

- 4) "The Initial Transient Phenomena in Plasma Enhanced Chemical Vapor Deposition Process". S.V. Nguyen, P.Pan, Applied Physics Letters, v.45(2), pp.134-136(1984).
- The Variation of Physical Properties of Plasma deposited Silicon Nitride and Oxynitride with Their Compositions". S.V. Nguyen, P. Pan, S. Burton, J. of Electrochemical Society, V.131, pp.2348-2353(1984).

- 6) "Plasma deposited Organosilicon Polymers Deposition, Characterization and Application in Multilayer Resist Lithography". S.V.Nguyen, J. Underhill, S. Fridmann and P.Pan; published in Journal of The Electrochem. Soc., V.132, pp.1925-1932(1985).
- 7) "Variation of Hydrogen Bonding, Depth Profiles and Spin Density of Plasma Deposited Silicon Nitride and Oxynitride with Deposition Mechanism". S.V. Nguyen, W. Lanford and A.L. Rieger. Published in Journal of The Electrochemical Society, V.133, pp. 970-975 (1986).
- Plasma Assisted Chemical Vapor Deposition Thin Films for Microelectronic Applications". S.V. Nguyen, appeared in Journal of Vacuum Science and Technology B4(5), pp. 1159-1167, Sept./Oct. 1986
- 9) " The Bonding Structure and Compositional Analysis of Plasma Enhanced and Low Pressure Chemical Vapor Deposited Silicon Nitride and Oxynitride Films". S.V.Nguyen, S. Fridmann, J. Abernathy and M. Gibson. Published in a book: "Emerging Semiconductor Technology ", Editor : D. Gupsta ,American Society for Testing and Material, Material, V. STP 960, pp. 173-189 (1987).
- 10) "Plasma Enhanced Chemical Vapor Deposition ". S.V. Nguyen, Book Chapter # 4, pp. 112-141," Handbook of Thin Film Deposition Processes and Techniques", Editor: K. Schuegraf, Noyes Publications USA, 1988.
- 11) "Plasma deposition and Characterization of Thin Si-rich Silicon Nitride Films". S.V. Nguyen and S. Fridmann, Journal of Electrochem. Society, V. 134, No# 9, pp.2324-2329 (1987).
- 12) "Effect of Si-H and N-H Bonds on Electrical Properties of Plasma Deposited Silicon Nitride and Oxynitride Films". S. V. Nguyen Journal of Electronic Materials, V. 16, No#4, pp.275-281 (1987).
- 13) "Excimer Laser Assited Etching of Polysilicon Films"; Son Van Nguyen, S. V. Nguyen, M. Armacost and J. Rembetski, Journal of Mater. Research, V.2(6), pp. 895-901, Nov./Dec. 1987.
- 14) "The characterization of Electron Cyclotron Resonance Plasma Deposited Nitride and Oxide Films", S. V. Nguyen and K. Albaugh, J. of Electrochem. Soc., V. 136(10), pp. 2835-2840(1989).
- 15) "Magnetically Enhanced Reactive Ion Etching of Poly Gate Electrode Smaller than 0.5 micron ", S. V. Nguyen, D. Harmon and D. Dobuzinsky .Solid State Technology, pp. 73-77, October 1990.
- 16) "Reaction Mechanism of Plasma and Thermally Assisted Chemical Vapor Deposition Process of Tetraorthosilicate Oxide Films",
 S. V. Nguyen, D. Harmon, D. Dobuzinsky, S. Fridmann, R. Gleason.
 J. of Electrochemical Society, V. 137, No.7, pp.2209-2215 (1990).
- 17) "Plasma Deposition and Characterization of High Quality Silicon Oxide Films", S. V. Nguyen, D. Dobuzinsky, S. Fridmann, R. Gleason and M. Gibson. Thin Solid Films, V.193/194, pp.595-609(1990).
- 18) "Substrate Trenching Mechanism in Plasma and Magnetically Enhanced Reactive Ion Etching of Polysilicon Gate Electrode", S. V. Nguyen, D. Dobuzinsky, G. Chrisman and S. Stiffler. J. of Electrochem. Soc., V. 138, No.4, pp. 1112-1117 (1991).
- 19) "A new leakage Mechanism in sub-5nm Oxynitride dielectric", T. Nguyen, Son V. Nguyen, D. Dobuzinsky, D. Carl and J. Korejwa. Applied Physic Letter, V.63(14), pp. 1972-1974, October 1993.
- 20) " Evidence of p-n junction formation in plasma CVD BN deposition

on silicon", T. Nguyen, S. V. Nguyen and D. Dobuzinsky. Applied Physic Letter, V.63(15), pp.2103-2105, 1993.

- 21) "Plasma deposition and characterization of Boron Nitride Films", S. Nguyen, T. Nguyen, H. Treichel and O. Spindler. J. of Electrochem. Soc, V.141, N0#6, pp. 1633-1638 (1994)
- 22) "High Selectivity Magnetically Enhanced Reactive Ions Etching of Boron Nitride", D. Cote, S. Nguyen, D. Dobuzinsky, C. Basa and R. Neureither. J. of Electrochem. Soc., Vol. 141, pp.3456-3462(1994).
- 23) " Novel Fingered Stack Capacitor ", S. Nguyen, Tue Nguyen, D. Carl and D. Dobuzinsky. J. Electrochem. Soc., V.142, pp.L111-113(1995)
- 24) "Low temperature Chemical Vapor Deposition Processes and Dielectrics for for Microelectronic circuit manufacturing at IBM", D.R. Cote,
 S. V. Nguyen, W.J. Cote, S. L. Pennington, A. Stamper and D. V. Podlesnik IBM Journal of Research and Development, V.39, pp.437-464 (1995).
- 25) " Advanced Metallization Technology for 256Mbit DRAM", P. Kucher, H. Aochi, J. Gambino, T. Licata, T. Matsuda, S. Nguyen, M. Okazaki, H. Palm, M. Roney, Applied Surface Science, V. 91, pp.359-366(1995)
- 26) " CVD of Fluorosilicate Glass for ULSI applications", M. J. Shapiro, S. V. Nguyen, T. Matsuda, D. Dobuzinsky, Thin Solid Films, pp.503-507 V.270, December 1995.
- 27) "Fluorine Diffusion from Fluorosilicate Glass", M. J. Shapiro,
 T. Matsuda, Son V. Nguyen, C. Park and C. Dziobkowski, J. of Electrochem. Soc., pp. L156-L158, V.143, No#7 (1996).
- 28) " Ultrathin RTP Oxynitride dielectric on Planar, Trench and 3-D Structures", S. Nguyen, T. Nguyen, D. Carl, D. Pricer, D. J. Korejwa and D. Dobuzinsky. Microelectronics and Reliability, V.1, pp.81-85 (1998).
- 29) "Modulation-Doped Silicate Glass", M. Ilg, Son Van Nguyen, K.Uram, M. Kraxenberger, N. Sander, C. Park, Supperlattices and Microstructures, Vol. 24, No#5, pp.385-388 (1998)
- 30) "High Density Plasma Chemical Vapor Deposition of Silicon-Based Dielectrics for Microelectronic Fabrication", Son Van Nguyen, IBM Journal of Research and Development, V.43, No#1/2, pp.109-126(1999).
- 31) "Plasma-Assisted Chemical Vapor Deposition of Dielectrics", D. R. Cote, Son Van Nguyen, A. K. Stamper, D. Armburst, D. T. Tobben, R. Conti, and G. Y. Lee, IBM Journal of Research and Development, V.43, No#1/2, pp.5-38(1999).
- 32) "Titanium carbide Etching in High Density Plasma", R. Hsiao, D. Miller, A. Kellock; Applied Surface Science, V.148, pp.1-8,(1999).
- 33) "E-Beam Writing: A Next Generation Lithography (NGL) Approach for Thin Film Head Critical Features", R.E. Fontana, J. Katine, M. Rooks, R. Wiswanathan, J. Lille, S. MacDonald, E. Kratschmer, C. Tsang, Son Van. Nguyen, N. Robertson, P. Kasiraj., IEEE Trans.Magnetics, V.38, N0.1, pp. 95-100 (2002)

- 34) "Etch of Spin Valve Capping Layers for Sensor Stabilization Applications" W.
 P. Jayasekara, S. Zhang, D. Mauri, S. Nguyen, T. Shatz, IEEE Trans. Magnetics September 2003
- 35) "Ultrathin (5-35 nm) SiCNH Dielectrics for Damascene Cu Cap Application: Thickness Scaling and Oxidation Barrier Performance Limitation", Son Nguyen, Thomas Haigh Jr., Thomas Shaw, Steven Molis, Chet Dziobkowski, C. Zahakos, Steve Cohen, Hosadurga Shobha, E. Liniger, C. K. Hu, Griselda Bonilla, Nancy Klymko, and Alfred Grill, Electrochemical Society Transactions: Issue Title: Processing, Materials, and Integration of Damascene and 3D Interconnects, Volume: 33, Issue: 12, pp.137-145 (2010).
- 36) "In Situ Co/SiC(N,N) Capping Layers for Cu/Low-k Interconnect, C.C.Yang, B. Li, H. Shobha, S. Nguyen, A. Grill, J. Aubuchon, M. Shek and D. Edelstein, IEEE Electron Device Letter, Vol 33, No, 4, pp.588-560 (2012)
- 37) "Robust ultrathin (20-25 nm) trilayer dielectric low k Cu damascene cap forsub-30 nm nanoelectronic devices.", Son Nguyen, T. Haigh Jr., M. Tagami, A Grill, S. Cohen, H. Shobha, C. Hu, E. Adams, E. Linigger, T. Shaw, T. Cheng, H. Yusuf, Y. Xu, T. .Ko, S. Molis, T. Spooner, S. Skodas, X. Liu,, G. Bonilla, D. Edelstein. Electrochemical Society Transactions: Issue Title: ULSI Cu Interconnects Technology (2012). ECS Transactions. 01/2012; 41(43):3-9

38) "Progress in the Development and Understanding of Advanced Low k Dielectrics films for VLSI Interconnects – State of the Art"; Al Grill, Steve Gate, SON NGUYEN, E., D. Priyadarshin; Appl. Phys. Rev. 1, 011306 (2014); http://dx.doi.org/10.1063/1.4861876.

38a) Linking strain anisotropy and plasticity in copper metallization Conal E. Murray, Jean Jordan-Sweet, Deepika Priyadarshini, and Son Nguyen, APPLIED PHYSICS LETTERS 106, 181902 (2015). [http://dx.doi.org/10.1063/1.4919788]

REFEREED PROCEEDING VOLUMES and EXTERNAL PRESENTATIONS

- 39) "Plasma Deposition of Silicon Nitride and Oxynitride Films Using Inert Carrier Gases as Transport Agents".S.V. Nguyen, Proceeding of Symposia on Thin Nitride Insulating Films, pp. 453-459, v.83-8(1983). The Electrochemical Society. Presented at The Electrochemical Society Spring 1983 Meeting, San Francisco USA.
- 40) "Optical Emission Spectroscopic Study of Silicon Nitride and Oxynitride Deposition Glow Discharge". S.V. Nguyen, Proceeding of 9th International Conference on Chemical Vapor Deposition, pp.213-232,

V.84-6(1984), the Electrochemical Society. Presented at ECS meeting Spring 1984, Cincinnati, Ohio USA.

- 41) "Infrared, Auger and Electrical Characterization of Plasma deposited Silicon Nitride and Oxynitride Films". S.V. Nguyen, Extended Abstract pp.25-26, presented at the 1983 Electronic Material Conference, Burlington, Vermont USA.
- 42) "The Kinetic Model of Penning Reactions in Plasma Deposition of Silicon Nitride and Oxynitride". S.V. Nguyen, Invited paper presented at New England American Vacuum Society, Sept. 26,1984 Boston Meeting.
- 43) " A review of Fundamental Aspects of Plasma Deposition"; S.V.Nguyen, Invited Paper, SEMICON EAST, September 1984, Boston USA.
- 44) "Plasma deposited Organosilicon Polymers Deposition, Characteriza -tion and Application in Multi-layer Resist Lithography". S.V.Nguyen, J. Underhill, S. Fridmann and P.Pan; Presented and published in the Proceed. of Fifth Plasma Processing Symposium of the Electrochemical Society,pp.535-536, October 1984, New Orlean, USA.
- 45) "Silicon Oxynitride Films as Intermediate Layer in a 3-layer Resist System for Sub-micron Lithography". J. Underhill, S.V. Nguyen, M. Kerbaugh, D. Sundling; Proceed. of Photo-Optical Instrumentation Engineers (SPIES), V.539, pp.83-89(1985).
- 46) "The Role of Hydrogen Bonding on Metal-Nitride-Semiconductor (MIS) Electrical Properties of Plasma Deposited Silicon Nitride and Oxynitride Films". S.V. Nguyen, Extended Abstract pp.52-55,1985 Electronic Material Conference, Boulder, Colorado USA.
- 47) "Novel Deposition Processes for Electronic Material Processing -Issues in Plasma Deposition for Microelectronic". S.V. Nguyen, Invited Presentation in National Science Foundation Workshop on Engineering Needs in Electronic Processing. Appeared in Executive Summaries of the workshop pp. C1-C10 ; June 1985, University of Delaware, Newark, Delaware, USA.
- 48) "Variation of Hydrogen Bonding, Depth Profiles and Spin Density of Plasma Deposited Silicon Nitride and Oxynitride with Deposition Mechanism". S.V. Nguyen, W. Lanford and A.L. Rieger. Presented and appeared in Proceed. of Seventh International Symposium in Plasma Chemistry, V.1, pp.56-61(1985), Eindhoven, The Netherland.
- 49) "Hydrogen Diffusion Between Plasma Deposited Silicon Nitride/ Aluminum interfaces under Plasma Processing and Its Effect on Field Effect Transistor Device Threshold Voltage (V¢t!) Shift".
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A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1990- 11-27	1277031	Canada
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1991- 08-14	248993	Switzerland
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1991- 08-14	3772109708	Germany
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1991- 08-14	248993	Spain
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1991- 08-14	248993	France
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1991- 08-14	248993	United Kingdom
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1991- 08-14	248993	Italy

A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1995- 09-27	1972276	Japan
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1991- 08-14	248993	Netherlands
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1991- 08-14	248993	Sweden
A HIGH DENSITY VERTICALLY STRUCTURED 1 DEVICE DYNAMIC CEL	1989- 03-07	4811067	United States
ADVANCED DAMASCENE PLANAR STACK CAPACITOR FABRICATION METHOD	2001- 08-21	60130	Singapore
ANGLE DEFINED TRENCH (ADT)	2001- 10-19	3242323	Japan
ANGLE DEFINED TRENCH (ADT)	1999- 08-17	229573	Korea, Republic of
DOPED PEROVSKITE DIELECTRICS	2001- 04-24	294998	Korea, Republic of
ETCH RATE ENHANCEMENT OF BN BY LOW CONCENTRATION SI DOPING	1997- 06-20	2664866	Japan
ETCH RATE ENHANCEMENT OF BN BY LOW CONCENTRATION SI DOPING	1998- 03-27	142150	Korea, Republic of
ETCH RATE ENHANCEMENT OF BN BY LOW CONCENTRATION SI DOPING	1995- 06-07	NI-70034	Taiwan
GLASS FORMATION ON A SEMI- CONDUCTOR WAFER	2005- 10-26	69832035.2	Germany

GLASS FORMATION ON A SEMI- CONDUCTOR WAFER	2005- 10-26	0932188	United Kingdom	
HIGH DENSITY SELECTIVE SIO2:SI3N4 ETCHING USING A STOICHIOMETRICALLY ALTERED NITRIDE ETCH STOP	2001- 05-25	3193632	Japan	
HIGH DENSITY SELECTIVE SIO2:SI3N4 ETCHING USING A STOICHIOMETRICALLY ALTERED NITRIDE ETCH STOP	1999- 04-19	209041	Korea, Republic of	
HIGH DENSITY SELECTIVE SIO2: SI3N4 ETCHING USING A STOICHIOMETRICALLY ALTERED NITRIDE ETCH STOP	1997- 04-01	NI-85433	Taiwan	
HIGH STABILITY PHOSPHOROUS DOPED FLOWABLE OXYNITRIDE SPIN ON GLASS	1999- 08-20	2968244	Japan	
LOW TEMPERATURE BPSG DEPOSITION PROCESS	2002- 05-10	3304284	Japan	
LOW TEMPERATURE PLASMA OXIDATION PROCESS FOR SIDEWALL SPACER FORMATION	1996- 07-25	2075771	Japan	
LOW TEMPERATURE REFLOW DIELECTRIC- FLUORINATED BPSG	2005- 10-26	0932188	Ireland	
LOW TEMPERATURE REFLOW DIELECTRIC- FLUORINATED BPSG	2005- 10-26	0932188	Italy	
LOW TEMPERATURE REFLOW DIELECTRIC- FLUORINATED BPSG	2001- 06-01	3195299	Japan	

LOW TEMPERATURE REFLOW DIELECTRIC- FLUORINATED BPSG	2002- 10-30	360737	Korea, Republic of
LOW TEMPERATURE REFLOW DIELECTRIC- FLUORINATED BPSG	2005- 10-26	0932188	Netherlands
DAMASCENE STACK CAPACITOR	2001- 08-03	3217020	Japan
DAMASCENE STACK CAPACITOR	2001- 04-18	NI-123770	Taiwan
DOPED PEROVSKITE DIELECTRICS	1999- 05-21	2930569	Japan
METHOD FOR SUPPRESSING PATTERN DISTORTION ASSOCIATED WITH BPSG REFLOW AND INTEGRATED CIRCUIT CHIP FORMED THEREBY	2002- 08-23	3342652	Japan
METHOD OF DEPOSITING FLUORINE DOPED OXIDE	2005- 12-21	69534699.7	Germany
METHOD OF DEPOSITING FLUORINE DOPED OXIDE	2005- 12-21	0704885	
METHOD OF DEPOSITING FLUORINE DOPED OXIDE	2005- 12-21	0704885	France
METHOD OF DEPOSITING FLUORINE DOPED OXIDE	2005- 12-21	0704885	United Kingdom
METHOD OF DEPOSITING FLUORINE DOPED OXIDE	2002- 03-22	3290339	Japan
METHOD OF DEPOSITING FLUORINE DOPED OXIDE	1996- 10-09	NI-78762	Taiwan

NON-RANDOM, SUB- LITHOGRAPHY VERTICAL STACK CAPACITOR	2000- 07-07	3086403	Japan
NON-RANDOM, SUB- LITHOGRAPHY VERTICAL STACK CAPACITOR	1998- 07-29	157350	Korea, Republic of
NON-RANDOM, SUB- LITHOGRAPHY VERTICAL STACK CAPACITOR	1998- 04-09	NI-91409	Taiwan
SELECTIVE DEPOSITION OF PARYLENE	1996- 07-10	2069078	Japan
TA205 THIN FILM BY LOW TEMPERATURE OZONE PLASMA ANNEALING (OXIDATION)	2001- 06-22	3202893	Japan
LOW TEMPERATURE REFLOW DIELECTRIC- FLUORINATED BPSG	2000- 11-01	NI-122675	Taiwan
METHODS TO FORM SICOH OR SICNH DIELECTRICS AND STRUCTURES INCLUDING THE SAME	2009- 10-21	ZL200710126919.5	China
HARDMASK FOR IMPROVED RELIABILITY OF SILICON BASED DIELECTRICS	2008- 05-14	ZL200510117378.0	China
LOW K AND ULTRA LOW K SICOH DIELECTRIC FILMS AND METHODS TO FORM THE SAME	2008- 04-02	ZL200510004304.6	China
(E- DOCKET)ENCLOSED NANOTUBE STRUCTURE AND METHOD FOR FORMING	2009- 02-11	ZL200710102309.1	China
STRUCTURES AND METHODS FOR	2009- 06-19	4328725	Japan

INTEGRATION OF ULTRALOW-K DIELECTRICS WITH IMPROVED RELIABILITY			
AN IMPROVED METHOD FOR FABRICATING AN ULTRALOW DIELECTRIC CONSTANT MATERIAL AS AN INTRALEVEL OR INTERLEVEL DIELECTRIC IN A SEMICONDUCTOR DEVICE AND ELECTRONIC DEVICE MADE	2009- 08-05	ZL200580011628.0	China
SICOH DIELECTRIC MATERIAL WITH IMPROVED TOUGHNESS AND IMPROVED SI-C BONDING, SEMICONDUCTOR DEVICE CONTAINING THE SAME, AND METHOD TO MAKE THE SAME	2009- 09-09	ZL200610002175.1	China
ADVANCED LOW DIELECTRIC CONSTANT ORGANOSILICON PLASMA CHEMICAL VAPOR DEPOSITION FILMS	2009- 08-26	ZL200680004568.4	China
ULTRA LOW K PLASMA ENHANCED CHEMICAL VAPOR DEPOSITION PROCESSES USING A SINGLE BIFUNCTIONAL PRECURSOR CONTAINING BOTH A SICOH MATRIX FUNCTIONALITY AND ORGANIC POROGEN FUNCTIONALITY	2009- 10-21	ZL200510112743.9	China
AN IMPROVED METHOD FOR FABRICATING AN	2010- 04-29	956580	Korea, Republic of

ULTRALOW DIELECTRIC CONSTANT MATERIAL AS AN INTRALEVEL OR INTERLEVEL DIELECTRIC IN A SEMICONDUCTOR DEVICE AND ELECTRONIC DEVICE MADE			
LOW K AND ULTRA LOW K SICOH DIELECTRIC FILMS AND METHODS TO FORM THE SAME	2010- 05-01	1324381	Taiwan
IMPROVED SICOH DIELECTRIC	2010- 07-21	ZL200710002003.9	China
GLASS FORMATION ON A SEMI- CONDUCTOR WAFER	2005- 10-26	0932188	France
DEVICE STRUCTURE WITH LAYER FOR FACILITATING PASSIVATION OF SURFACE STATES	2006- 09-29	633191	Korea, Republic of
DEVICE STRUCTURE WITH LAYER FOR FACILITATING PASSIVATION OF SURFACE STATES	2002- 02-08	NI-142691	Taiwan
DEVICE STRUCTURE WITH LAYER FOR FACILITATING PASSIVATION OF SURFACE STATES	2004- 10-13	ZL99103121.0	China
ADVANCED LOW DIELECTRIC CONSTANT ORGANOSILICON PLASMA CHEMICAL VAPOR DEPOSITION FILMS	2010- 11-30	998809	Korea, Republic of
LOW K AND ULTRA LOW K SICOH DIELECTRIC FILMS AND METHODS TO FORM THE SAME	2011- 06-03	4755831	Japan

AN IMPROVED METHOD FOR FABRICATING AN ULTRALOW DIELECTRIC CONSTANT MATERIAL AS AN INTRALEVEL OR INTERLEVEL DIELECTRIC IN A SEMICONDUCTOR DEVICE AND ELECTRONIC DEVICE MADE	2011- 06-03	4756036	Japan	
DOPED PEROVSKITE DIELECTRICS	1999- 03-11	NI-097787	Taiwan	
ADVANCED LOW DIELECTRIC CONSTANT ORGANOSILICON PLASMA CHEMICAL VAPOR DEPOSITION FILMS	2011- 08-11	1346982	Taiwan	
EMBEDDED NANO UV BLOCKING BARRIER FOR IMPROVED RELIABILITY OF COPPER/ULTRA LOW K INTERLEVEL DIELECTRIC ELECTRONIC DEVICES	2011- 09-21	ZL200710186782.2	China	
LOW K AND ULTRA LOW K SICOH DIELECTRIC FILMS AND METHODS TO FORM THE SAME	2011- 09-30	4833268	JAPAN	
LOW K AND ULTRA LOW K SICOH DIELECTRIC FILMS AND METHODS TO FORM THE SAME	2011- 06-03	4755831	Japan	
AN IMPROVED METHOD FOR FABRICATING AN ULTRALOW DIELECTRIC CONSTANT MATERIAL AS AN INTRALEVEL OR INTERLEVEL DIELECTRIC IN A SEMICONDUCTOR	2011- 06-03	4756036	Japan	

DEVICE AND ELECTRONIC DEVICE MADE			
DOPED PEROVSKITE DIELECTRICS	1999- 03-11	NI-097787	Taiwan
ADVANCED LOW DIELECTRIC CONSTANT ORGANOSILICON PLASMA CHEMICAL VAPOR DEPOSITION FILMS	2011- 08-11	1346982	Taiwan
EMBEDDED NANO UV BLOCKING BARRIER FOR IMPROVED RELIABILITY OF COPPER/ULTRA LOW K INTERLEVEL DIELECTRIC ELECTRONIC DEVICES	2011- 09-21	ZL200710186782.2	China
LOW K AND ULTRA LOW K SICOH DIELECTRIC FILMS AND METHODS TO FORM THE SAME	2011- 09-30	4833268	Japan
AN ULTRALOW DIELECTRIC CONSTANT MATERIAL AS AN INTRALEVEL OR INTERLEVEL DIELECTRIC IN A SEMICONDUCTOR DEVICE AND ELECTRONIC DEVICE MADE	2011- 12-01	1353637	Taiwan
ULTRALOW DIELECTRIC CONSTANT LAYER WITH CONTROLLED BIAXIAL STRESS	2012- 08-17	5065054	Japan
(E- DOCKET)ENCLOSED NANOTUBE STRUCTURE AND METHOD FOR FORMING	2012- 08-24	5068100	Japan
ULTRALOW DIELECTRIC	2012- 10-03	ZL200680002276.7	China

CONSTANT LAYER WITH CONTROLLED BIAXIAL STRESS			
DIELECTRIC CAP HAVING MATERIAL WITH OPTICAL BAND GAP TO SUBSTANTIALLY BLOCK UV RADIATION DURING CURING TREATMENT, AND RELATED METHODS	2012- 09-05	ZL200880001994.1	China
AN IMPROVED METHOD FOR FABRICATING AN ULTRALOW DIELECTRIC CONSTANT MATERIAL AS AN INTRALEVEL OR INTERLEVEL DIELECTRIC IN A SEMICONDUCTOR DEVICE AND ELECTRONIC DEVICE MADE	2012- 10-11	1374472	Taiwan
ALBANY-ENGINEERED INTERCONNECT DIELECTRIC CAPS HAVING COMPRESSIVE STRESS AND INTERCONNECT STRUCTURES CONTAINING SAME	2013- 01-02	ZL201010227409.9	China
LOW K DIELECTRIC CVD FILM FORMATION PROCESS WITH IN- SITU IMBEDDED NANOLAYERS TO IMPROVE MECHANICAL PROPERTIES	2013- 03-27	ZL200680007406.6	China
SICOH DIELECTRIC MATERIAL WITH IMPROVED TOUGHNESS AND IMPROVED SI-C BONDING, SEMICONDUCTOR DEVICE CONTAINING THE SAME, AND	2013- 05-21	1397123	Taiwan

METHOD TO MAKE THE SAME			
STRUCTURES AND METHODS FOR INTEGRATION OF ULTRALOW-K DIELECTRICS WITH IMPROVED RELIABILITY	2013- 07-21	1402887	Taiwan
LOW K DIELECTRIC CVD FILM FORMATION PROCESS WITH IN- SITU IMBEDDED NANOLAYERS TO IMPROVE MECHANICAL PROPERTIES	2013- 11-01	5398258	Japan
LOW K DIELECTRIC CVD FILM FORMATION PROCESS WITH IN- SITU IMBEDDED NANOLAYERS TO IMPROVE MECHANICAL PROPERTIES	2013- 11-11	1414623	Taiwan
ADVANCED LOW DIELECTRIC CONSTANT ORGANOSILICON PLASMA CHEMICAL VAPOR DEPOSITION FILMS	2014- 01-31	5466365	Japan
DIELECTRIC CAP HAVING MATERIAL WITH OPTICAL BAND GAP TO SUBSTANTIALLY BLOCK UV RADIATION DURING CURING TREATMENT, AND RELATED METHODS	2015-01-16	5679662	Japan

PROFESSIONAL ASSOCIATIONS and Other Scientific and Academic Activities

- Electrochemical Society

- American Chemical Society

- Material Research Society
- International Union of Pure and Applied Chemistry

- Senior Member of US Committee for Scientific Cooperation with Viet Nam

(Active Senior Member) since 1978 helping 100+ of Vietnamese graduate students scientist visit and obtain educational opportunities in USA.

- Academic Advisor for Tra Vinh University, Vietnam. Chemical and Nanoscience Program since 2006

- Technical Science Advisor for Material Science Program, Vietnam National

University, Ho Chi Minh City, Vietnam, appointed 2008-2013.

REFERENCES –

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